



*DSW*  
PATENT APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Warren Kelm

Serial No: 10/641,703

Examiner: Thomas S. Bomar

Filed: 8/15/2003

Art Unit: 3672

For: AUGER FLIGHT SUPPORT SYSTEM

Docket: 1910-A-CIP

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Supplemental Information Disclosure Statement**

1. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

(a)  Within 3 months of the filing date or date of entry into the National Stage.

(b)  Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 19-0083 for consideration of this Statement.

(c)  After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.

(1)  The required certification is given below,  
or

(2)  Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or

100.00 OP

11/25/2005 FMETEK11 00000057 10641703

01 FC:1806

(3)  Charge the fee set forth in 37 C.F.R.  
1.17(p) to Deposit Account No. 19-0083.

(d)  After the mailing date of either a final action or a notice  
of allowance, but before payment of the issue fee.  
Petition hereby is made for consideration of this Statement  
and the required certification indicated below.

(1)  Enclosed is a check covering the fee set  
forth in 37 C.F.R. 1.17(i)(1), or

(2)  Charge the fee set forth in 37 C.F.R.  
1.17(i)(1) to Deposit Account No. 19-0083.

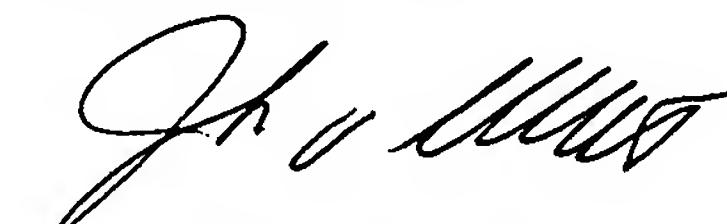
2. Certification (if applicable)

(a)  The undersigned hereby certifies that each item  
of information contained in this Statement was  
cited in a communication from a foreign patent  
office in a counterpart foreign application not  
more than 3 months prior to the filing of this  
Statement.

(b)  No item of information contained in the information  
disclosure statement was cited in a communication  
from a foreign patent office in a counterpart foreign  
application, and, to the knowledge of the person  
signing the certification after making reasonable inquiry,  
no item of information contained in the information  
disclosure statement was known to any individual  
designated in § 1.56(c) more than three months prior  
to the filing of the information disclosure statement.

Respectfully submitted at Canton, Ohio, this 22<sup>nd</sup> of November, 2005.

SAND & SEBOLT

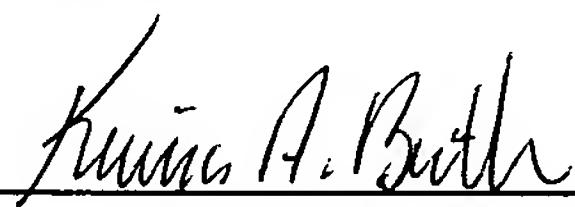


By: Joseph A. Sebolt  
Reg. No. 35,352

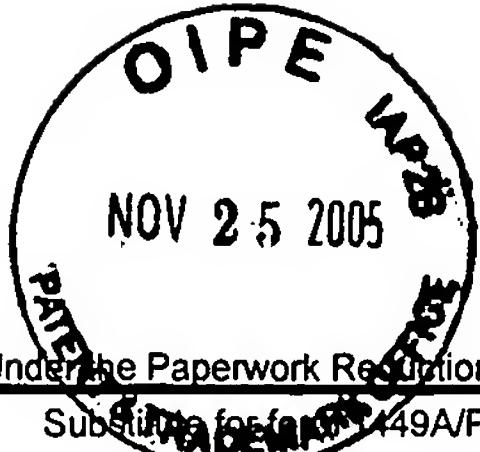
Aegis Tower  
4940 Munson Street, NW, Suite 1100  
Canton, OH 44718-3615  
Telephone: (330) 244-1174  
Facsimile: (330) 244-1173  
JAS/FF/kab  
Attorney Docket: 1910-A-CIP

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to  
Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
on this 22<sup>nd</sup> day of November, 2005.



Karina A. Butler



NOV 25 2005

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

~~Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.~~

~~Substitute for form 149A/PTO~~

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

<p style="text-align: center;"><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p style="text-align: center;"><i>(Use as many sheets as necessary)</i></p>				<b>Complete if Known</b>	
Sheet	1	of	3	Application Number	10/641,703
				Filing Date	8/15/2003
				First Named Inventor	Warren Kelm
				Art Unit	
				Examiner Name	
				Attorney Docket Number	1910-A-CIP

## **U.S. PATENT DOCUMENTS**

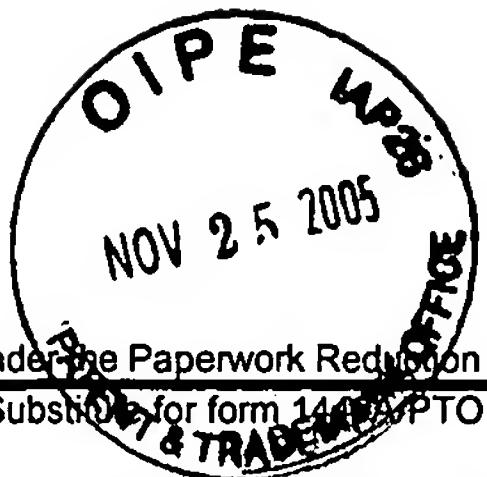
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US-693,801	02-18-1902	Leaverton	
	2	US-1,086,748	02-10-1914	Braasch	
	3	US-1,729,211	10-09-1924	Erisman	
	4	US-2,298,332	02-17-1941	Applegate	
	5	US-2,709,572	04-11-1952	Ageborn	
	6	US-2,751,203	12-05-1952	Compton	
	7	US-2,783,974	03-05-1957	Veasman	
	8	US-2,839,271	06-17-1958	Kandle	
	9	US-2,887,300	01-23-1957	Meredith	
	10	US-2,940,740	06-14-1960	Adams	
	11	US-3,012,371	12-12-1961	Pawela	
	12	US-3,036,821	05-29-1962	Letts	
	13	US-3,278,001	10-11-1966	Andrews	
	14	US-3,319,771	05-16-1967	Nesseth	
	15	US-3,327,840	06-27-1967	Wilkes	
	16	US-3,796,448	03-12-1974	Ringkamp	
	17	US-3,830,318	08-20-1974	Busby	
	18	US-3,980,342	09-14-1976	Dunham	
	19	US-3,987,561	10-26-1976	Gee et al	
	20	US-4,036,529	07-19-1977	Hawthorne et al	

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature	Date Considered	
-----------------------	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of US PTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO:**  
**Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

~~Substitute for form 144A PTO~~

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet

1

of

3

**Attorney Docket Number:**

10/641.703

Application Number	10/641,703
Filing Date	8/15/2003
First Named Inventor	Warren Kelm
Art Unit	
Examiner Name	
Attorney Docket Number	1910-A-CIP

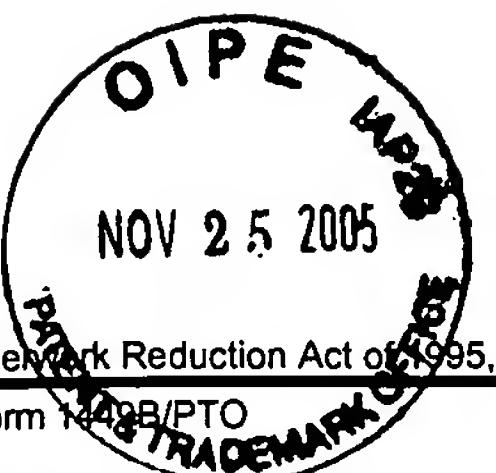
## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature	Date Considered
-----------------------	--------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of US PTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

3

of

3

Complete if Known	
Application Number	10/641,703
Filing Date	8/15/2003
First Named Inventor	Warren Kelm
Art Unit	
Examiner Name	
Attorney Docket Number	1910-A-CIP

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	31	Coal Mining & Processing, October 1975, 4 pages	
	32	Heavy-Duty Elevating Conveyors , Undated Advertising Brochure, Bulletin No. CO-101K, 2 pages	
	33	Salem Model 1020-36-DK Coal Recover Auger, Undated Advertising Brochure, Bulletin No. CA-102K, 2 pages	
	34	The Salem MCK Coal Recovery Augering System, Undated Advertising Brochure, Bulletin No.CA-103K, 2 pages	
	35	1600B... Redesigned for the '90's, Undated Advertising Brochure, Bulletin No. CA-601-B, 2 pages	
	36	The Salem MJ Mini-MUL-T Coal Recovery Auger, Undated Advertising Brochure, 2 pages	
	37	The Salem S-1500-BK Coal Recovery Augering System, Undated Advertising Brochure, 2 pages	
	38	Salem Augers Boost Recover Rates and Profit, Undated Brochure, 1 pages	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.